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MAY 29 2002
PATENT & TRADEMARK OFFICE

Express Mail Label No. EL598673285US

Docket No.: END919980071US1

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL
(Large Entity)

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

Submit an original, and a duplicate for fee processing. Duplicate ☐

FIRST NAMED INVENTOR	EXAMINER	GROUP/ART UNIT
M. W. BEACH, ET AL.	GEOFFREY R AKERS	2164

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JUN 04 2002

Address to:
Commissioner of Patents and Trademarks
Box CPA
Washington, D.C. 20231

Technology Center 2100

This is a request for a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), continued prosecution application (CPA) of prior application number 09/244,304 filed on 3 Feb 1999 and entitled: Preprocessor System and Method for Rejection of Duplicate Invoices.

01. ☐ Enter the unentered amendment previously mailed on 8 Oct 2000 and filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
02. ☒ A preliminary amendment is enclosed.
03. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
- a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
04. ☐ A new power of attorney or authorization of agent is enclosed.
05. ☐ An Information Disclosure Statement (IDS) is enclosed:
- a. ☐ PTO-1449
- b. ☐ Copies of IDS Citations

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JUN 07 2002

GROUP 3600

05/04/2002 090000051 090457 09244304

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL
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Total Pages this Submission: 3

06. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED					
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	4	-20	0	x \$18.00	\$
Indep. Claims	3	- 3	0	x \$80.00	\$
Multiple Dependent Claims _____					\$
				BASIC FEE	\$ 710.00
Other FEE (Specify purpose)					\$
				TOTAL FILING FEE	\$ 710.00

07. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to IBM Corporation Deposit Account No. 09-0457:

- ☒ fees required under 37 C.F.R. 1.16.
☒ fees required under 37 C.F.R. 1.17.
☐ fees required under 37 C.F.R. 1.18.

08. ☐ A check in the amount of \$ is enclosed.

09. ☐ Also enclosed:

10. ☐ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL
(Large Entity)

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.

FILING QUALIFICATIONS: The prior application must be a nonprovisional application that is either (1) complete as defined by 37 C.F.R. 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty year patent term provisions of 35 USC 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. 1.53(d), but must be filed under 37 C.F.R. 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. 1.53(b) must be used to file a continuation, divisional or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of the CPA will be construed to include a waiver of confidentiality by the Applicant Under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or application in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. 1.78(a).

Dated:

29 May 2002


Signature

Shelley M Beckstrand, Attorney
Reg. No. 24,886

314 Main Street
Owego, NY 13827-1616
Phone: (607) 687-9913

- ☐ Inventor(s)
☐ Assignee of complete interest
☒ Attorney or agent of record

EXPRESS MAIL CERT. NO.: EL598673285US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

Applicant : M. W. Beach, et al.

Serial No. : 09/244,304

Filed : 3 Feb 1999

Examiner : G. Akers

Group : 2164

Entitled : Preprocessor System and Method for
Rejection of Duplicate Invoices

Docket No. : END919980071US1

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PRELIMINARY AMENDMENT

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Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

This Preliminary Amendment is submitted prior to
examination of the above identified Continuing Prosecution
Application (CPA).

Please amend the above cited application as follows:

END919980071US1

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S/N 09/244,304



#27/C
6/1/03